

09-18-1998

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

FR SHEET

100833713

Attorney Docket No. 1049-P-008N

To the Assistant Commissioner for Patents

## 1. Name of conveying party(ies):

Ariel Ruiz i Altaba

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name

Other: \_\_\_\_\_

Execution Date: July 21, 1998

## 2. Name and address of receiving party(ies):

Name: New York University

Address: 70 Washington Square South

New York, New York 10012

Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/102,491

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: DAVID A. JACKSON

Address: KLAUBER &amp; JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

## 6. Total number of applications and patents involved: 1

## 7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed☐ Authorized to be charged to deposit account, if necessary -  
for overages or underpayments only

## 8. Deposit account number:

11-1153

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

David A. Jackson, Reg. No. 26,742  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents  
Box Assignments  
Washington, D.C. 20231

09/17/1998 JMT/KLS 00000161 09102491

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APR 21 2003  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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Washington, D.C. 20231

JANUARY 13, 1999

PTAS

KLAUBER & JACKSON  
DAVID A. JACKSON  
411 HACKENSACK AVENUE, 4TH FLOOR  
HACKENSACK, NJ 07601



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/14/1998

REEL/FRAME: 9454/0610  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
ALTABA, ARIEL RUIZ I

DOC DATE: 07/21/1998

ASSIGNEE:  
NEW YORK UNIVERSTIY  
70 WASHINGTON SQUARE SOUTH  
NEW YORK, NEW YORK 10012

SERIAL NUMBER: 09102491  
PATENT NUMBER:

FILING DATE: 06/22/1998  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
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PATENT  
1049-1-008N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTEE(S) : Ariel Ruiz i Altaba *et al.*  
PATENT NO. : 6,238,876  
ISSUED : May 29, 2001  
FOR : METHODS AND MATERIALS FOR THE DIAGNOSIS AND  
TREATMENT OF SPORADIC BASAL-CELL CARCINOMA

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Betty Schultz  
(Name of Depositor)

Betty Schultz 8/29/02  
(Signature and Date)

WRITTEN CONSENT OF ASSIGNEE UNDER §3.73(b)(3)

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

New York University School of Medicine of 550 First Avenue, New York, NY 10016  
being the Assignee of the executed Assignments from the inventor for the above-identified  
Patent Application hereby consents to the addition of NADIA DAHMANE as co-inventor of the  
above-identified Patent Application.

Date July 23, 2002

Abram M. Goldfinger L.S.  
Abram M. Goldfinger  
Executive Director  
Industrial Liason/Technology Transfer

**ASSIGNMENT**

WHEREAS, I,

Nadia Dahmane, a citizen of the Algeria, residing at  
20 rue Pauriol  
Marseille 13005  
France;

have invented certain new and useful improvements in

**METHODS AND MATERIALS FOR THE DIAGNOSIS AND TREATMENT OF  
-SPORADIC BASAL CELL CARCINOMA**

for which I have filed an Application for Letters Patent in the United States on June 22, 1998,  
and was accorded U.S. Serial No. 09/102,491; and

WHEREAS,

New York University,  
an education corporation organized and existing under  
the laws of the State of New York,  
with office at 550 First Avenue, New York, NY 10016,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the  
said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which  
is hereby acknowledged, I the said

Nadia Dahmane

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,  
transfer and set over, to the said

New York University,

its successors, legal representatives and assigns, the entire right, title and interest in, to and  
under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent which  
may hereafter be filed for said improvements in any country or countries foreign to the  
United States, including the right to claim priority under the terms of any appropriate  
International Convention based upon said application for Letters Patent of the United States,  
and all Letters Patent which may be granted from said improvements in any country or  
countries foreign to the United States and extensions, renewals and reissues thereof; and I  
hereby authorize and request the Commissioner of Patents and Trademarks of the United  
States and any official of any country or countries foreign to the United States, whose duty is  
to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements  
to the said

New York University,

it successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the interest herein assigned in the manner hereinabove set forth, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said

New York University,


its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

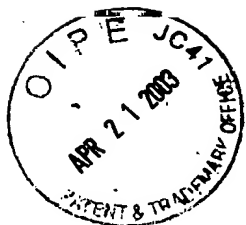
New York University,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, I hereunto set our hand and seal the day and year set opposite our signatures.

Date 7-15-02, 2002

  
\_\_\_\_\_  
Nadia Dahmane L.S.



PATENT  
1049-1-008N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTEE(S) : Ariel Ruiz i Altaba *et al.*  
PATENT NO. : 6,238,876  
ISSUED : May 29, 2001  
FOR : METHODS AND MATERIALS FOR THE DIAGNOSIS AND  
TREATMENT OF SPORADIC BASAL-CELL CARCINOMA

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Betty Schultz  
(Name of Depositor)

Betty Schultz 8/24/02  
(Signature and Date)

STATEMENT UNDER 37 CFR §1.324(b)(1)

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

I, NADIA DAHMANE, hereby state that the error in inventorship for the above-identified patent application occurred without deceptive intention on my part.

Date 07-15-, 2002

Nadia Dahmane L.S.  
Nadia Dahmane



PATENT  
1049-1-008N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENTEE(S) : Ariel Ruiz i Altaba *et al.*  
PATENT NO. : 6,238,876  
ISSUED : May 29, 2001  
FOR : METHODS AND MATERIALS FOR THE DIAGNOSIS AND  
TREATMENT OF SPORADIC BASAL-CELL CARCINOMA

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231 on August 29, 2002

Betty Schultz  
(Name of Depositor)

Betty Schultz 8/29/02  
(Signature and Date)

STATEMENT UNDER 37 CFR §1.324(b)(2)

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

I, ARIEL RUIZ i ALTABA, hereby state that the error in inventorship for the above-identified patent application occurred without deceptive intention on my part. I hereby agree to the change of inventorship and state that I have no disagreement in regard to the request for correction of inventorship in adding the Nadia Dahmane as co-inventor.

Date July 10<sup>th</sup>, 2002

Ariel Ruiz i Altaba L.S.

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**ASSIGNMENT**

WHEREAS, I, Ariel Ruiz i Altaba, a citizen of Mexico, residing at

4 Washington Square Village, Apt. 15E,  
New York, New York 10012;

has invented certain new and useful improvements in

**METHODS AND MATERIALS FOR THE DIAGNOSIS AND  
TREATMENT OF SPORADIC BASAL CELL CARCINOMA**

for which I have filed an Application for Letters Patent in the United States on June 22, 1998,  
and was accorded U.S. Serial No. 09/102,491; and

WHEREAS,

NEW YORK UNIVERSITY,  
an education corporation organized and existing under  
the laws of the State of New York,  
with an office at 70 Washington Square South,  
New York, New York 10012

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said  
improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which  
is hereby acknowledged, I the said

Ariel Ruiz i Altaba

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign,  
transfer and set over, to the said

NEW YORK UNIVERSITY,

its successors, legal representatives and assigns, the entire right, title and interest in, to and  
under the said improvements, and the said application and all divisions, renewals, and  
continuations thereof, and all Letters Patent of the United States which may be granted  
thereon and all reissues and extensions thereof, and all applications for Letters Patent which  
may hereafter be filed for said improvements in any country or countries foreign to the United  
States, including the right to claim priority under the terms of any appropriate International  
Convention based upon said application for Letters Patent of the United States, and all Letters  
Patent which may be granted from said improvements in any country or countries foreign to  
the United States and extensions, renewals and reissues thereof; and I hereby authorize and  
request the Commissioner of Patents and Trademarks of the United States and any official of  
any country or countries foreign to the United States, whose duty is to issue patents on  
applications as aforesaid, to issue all Letters Patent for said improvements to the said



NEW YORK UNIVERSITY,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that I have full right to convey the interest herein assigned in the manner hereinabove set forth, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said

NEW YORK UNIVERSITY,

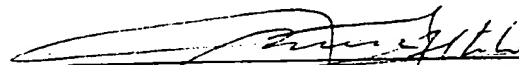
its successors, legal representatives and assigns, any fact known to me respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

NEW YORK UNIVERSITY,

its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signature.

Date 7/21/98, 1998



Ariel Ruiz i Altaba

L.S.